

99089121023000

Rückmeldungen an die Verpflichteten und Aufsichtsbehörden Auskunft

Heruntergeladen am 06.06.2025

<https://fimportal.de/xzufi-services/102583850/B100019>

Modul	Sachverhalt
Leistungsschlüssel	99089121023000
Leistungsbezeichnung I	Rückmeldungen an die Verpflichteten und Aufsichtsbehörden Auskunft
Leistungsbezeichnung II	Feedback received from the Central Office for Financial Transaction Investigations
Typisierung	1 - Bund: Regelung und Vollzug
Quellredaktion	Bund
Freigabestatus Katalog	fachlich freigegeben (gold)
Freigabestatus Bibliothek	unbestimmter Freigabestatus
Begriffe im Kontext	
Leistungstyp	Leistungsobjekt mit Verrichtung
Leistungsgruppierung	
Verrichtungskennung	Auskunft (23)
SDG-Informationsbereich	nicht SDG-relevant

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Lagen Portalverbund	Gerichtliche Verfahren, Anzeige und Klage (1150200)
Einheitlicher Ansprechpartner	Nein
Fachlich freigegeben am	25.07.2024
Fachlich freigegeben durch	Federal Ministry of Finance (BMF)
Handlungsgrundlage	https://www.gesetze-im-internet.de/gwg_2017/_10.html https://www.gesetze-im-internet.de/gwg_2017/_28.html https://www.gesetze-im-internet.de/gwg_2017/_41.html https://www.gesetze-im-internet.de/gwg_2017/_43.html
Teaser	The Central Office for Financial Transaction Investigations (Finance Intelligence Unit, FIU for short) provides the obligated party with feedback on the relevance of its report within a reasonable period of time.
Volltext	The Financial Intelligence Unit collects and investigates reports of suspicious financial transactions. The English name of the central office and the corresponding abbreviation are often used: Financial Intelligence Unit (FIU). Its aim is to prevent, detect and prosecute money laundering and terrorist financing. As an obliged entity, you must report suspicious money movements to the FIU under certain conditions. If you have submitted such suspicious activity reports, you will receive feedback from the FIU on the relevance of your suspicious activity reports within a reasonable period of time. If you gain knowledge of personal data through this feedback, this data may only be used to improve • your own reporting behavior • your own risk management: • Risk analysis and, based on this, security measures against money laundering and terrorist financing • the fulfillment of your own due diligence obligations,

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including

- Identification of the contractual partner and, if applicable, the person acting on their behalf
- Checking whether the person acting on behalf of the contracting partner is authorized to do so
- Determining and identifying the beneficial owner:
 - the person in whose ownership or under whose control the contracting party is, or
 - the person at whose instigation a transaction is carried out or a business relationship is established
- Obtaining and assessing information on the purpose and intended nature of the business relationship; determining whether the contracting partner or the beneficial owner is a politically exposed person
- Persons who hold or have held a high-ranking public office in Switzerland or abroad in the past 12 months
- Continuous monitoring of the business relationship

You must delete this personal data

- as soon as it is no longer required for the stated purposes or
- after one year at the latest

Erforderliche Unterlagen	You do not need to submit any documents.
Voraussetzungen	They have submitted suspicious activity reports to the Financial Intelligence Unit (FIU).
Kosten	There are no costs.
Verfahrensablauf	• You will receive confirmation of receipt of your suspicious activity reports via goAML, the reporting portal of the Financial Intelligence Unit (FIU). • The feedback reports are also sent via goAML.
Bearbeitungsdauer	Feedback reports are generally prepared and sent out in the year following the reporting year.
Frist	There is no deadline.

weiterführende

Modul	Sachverhalt
Informationen	https://www.zoll.de/DE/FIU/fiu_node.html https://www.zoll.de/DE/FIU/Fachliche-Informationen/Verdachtsmeldungen/verdachtsmeldungen_node.html https://www.zoll.de/DE/FIU/Fachliche-Informationen/Geldwaeschepraevention-Nichtfinanzunternehmen/geldwaeschepraevention-nichtfinanzsektor_node.html
Hinweise	
Rechtsbehelf	• Objection • Action before the administrative court
Kurztext	• Feedback to the obliged entities and supervisory authorities Information • Central Financial Intelligence Unit (FIU) combats money laundering and terrorist financing • Obligated parties must submit suspicious activity reports to the FIU • FIU provides obliged entities with feedback on the relevance of their suspicious activity reports • Feedback may contain personal data • Obligated entities may only use this data for improvement purposes • risk management, • the fulfillment of due diligence obligations or • the reporting behavior • Obligated parties must delete this data • as soon as they are no longer required for the stated purposes or • after one year at the latest • responsible: Central Office for Financial Transaction Investigations (Financial Intelligence Unit, FIU)
Ansprechpunkt	
Zuständige Stelle	
Formulare	• Forms: none • Online procedure possible: yes • Written form required: no • Personal appearance required: no
Ursprungsportal	Rückmeldungen an die Verpflichteten und Aufsichtsbehörden Auskunft, Rückmeldungen an die Verpflichteten und Aufsichtsbehörden Auskunft