

99089051169002, 99089051169002

# Notification of suspected money laundering or terrorist financing

## Notification of the outsourcing of internal security measures

Heruntergeladen am 27.06.2025

<https://fimportal.de/xzufi-services/106145339/L100010>

Modul	Sachverhalt
Leistungsschlüssel	99089051169002, 99089051169002
Leistungsbezeichnung I	Notification of suspected money laundering or terrorist financing Notification of the outsourcing of internal security measures
Leistungsbezeichnung II	
Typisierung	2/3a - Bund: Regelung, Land: Vollzug
Quellredaktion	Saarland
Freigabestatus Katalog	fachlich freigegeben (gold)
Freigabestatus Bibliothek	fachlich freigegeben (silber)
Begriffe im Kontext	
Leistungstyp	Leistungsobjekt mit Verrichtung
Leistungsgruppierung	Sicherheit und Ordnung (089)

Modul	Sachverhalt
Verrichtungskennung	Anzeige (169)
SDG-Informationsbereich	Erlangung von Lizenzen, Genehmigungen oder Zulassungen im Hinblick auf die Gründung und Führung eines Unternehmens
Lagen Portalverbund	Gerichtliche Verfahren, Anzeige und Klage (1150200), Gerichtliche Entscheidungen (2140300)
Einheitlicher Ansprechpartner	Nein
Fachlich freigegeben am	16.04.2025
Fachlich freigegeben durch	Ministry of Economic Affairs, Innovation, Digital and Energy
Handlungsgrundlage	§ Section 6 (1) of the Money Laundering Act (GwG) for the basic obligation to take security measures § Section 6 (7) GwG regarding the obligation to report outsourcing § Section 6 (7) sentence 1 of the Money Laundering Act (GwG)
Teaser	<p>Are you an obliged entity within the meaning of the Money Laundering Act and want to transfer business and/or customer-related internal security measures to an external third party?</p> <p>Then you are obliged to notify the competent supervisory authority accordingly.</p>
Volltext	<p>As an obliged entity or obligated party under money laundering law, you must create appropriate business and customer-related internal security measures to manage and mitigate the risks of money laundering and terrorist financing through suitable principles, procedures and controls.</p> <p>You can also transfer the implementation of internal security measures to a third party within the framework of contractual agreements. However, you must first notify the competent supervisory authority of the intended outsourcing. The Money Laundering Act contains standard examples of the security measures to be put in place. This list is not exhaustive. Further internal security measures may be required in</p>

## Modul

## Sachverhalt

individual cases. The internal security measures also require the approval of the member of management responsible for money laundering prevention in your company.

As the obligated party, you may have the internal security measures carried out by an (external) third party within the framework of contractual agreements if you have notified the supervisory authority in advance. The supervisory authority may prohibit the transfer if

- The third party does not guarantee that the security measures will be carried out properly.
- the control options of the obligated party are impaired or
- supervision by the supervisory authority is impaired.

For you as the obligated party, this means that you must state in your notification that the conditions for prohibiting the transfer are not met. You must also state in the notification which internal security measures are the subject of the outsourcing. The notification must be made by the obliged entity itself or, if applicable, by the appointed money laundering officer.

Important note:

The responsibility for the fulfillment of the internal security measures remains with the obliged entities. If the third party does not properly fulfill the contractually assigned obligations, for example, you remain responsible for non-compliance with the internal security measures.

## Erforderliche Unterlagen

1. Notification of the outsourcing of internal security measures
1. Proof of authorization to notify
1. Contract with the third party
1. Current excerpt from the commercial register

Modul	Sachverhalt
	<p>Note: The authority may require proof of the service provider's suitability - this could be, for example, CVs, training certificates or references that explicitly relate to money laundering obligations and experience.</p>
Voraussetzungen	<ul style="list-style-type: none"> <li>• Obligated parties under the Money Laundering Act</li> <li>• Only natural or legal persons who are obliged entities under the AMLA or third parties to whom the internal security measures are outsourced are entitled to make a report.</li> <li>• The reporting person must be a member of management or an internal/external money laundering officer or an internal/external money laundering officer of the company.</li> <li>• The third party must be sufficiently qualified and reliable to carry out the internal security measures and offer the guarantee that the security measures will be carried out properly.</li> </ul> <p>In addition, the outsourcing must not impair the control options of the obliged entity or the supervision of the supervisory authority.</p>
Kosten	Free of charge
Verfahrensablauf	<ul style="list-style-type: none"> <li>• The notification must be submitted to the competent supervisory authority by the obliged entity itself or, if applicable, by the appointed money laundering officer</li> <li>• You will receive a confirmation of receipt</li> <li>• Your notification will be reviewed by the competent authority</li> <li>• After notification, the internal security measures can be carried out by a third party; prior approval by the authority is not required.</li> <li>• The supervisory authority may prohibit the transfer to a third party if the third party does not guarantee that the security measures will be carried out properly, the control options of the obligated party are thereby impaired or the supervision by the supervisory authority is impaired</li> </ul>
Bearbeitungsdauer	Not applicable. This is only an advertisement.
Frist	<ul style="list-style-type: none"> <li>• Notification of the outsourcing of internal security measures must be made prior to outsourcing</li> <li>• After notification, the internal security measures can be</li> </ul>

Modul	Sachverhalt
	implemented; prior approval by the authority is not required
weiterführende Informationen	<p>Further information on money laundering prevention can be found on the Saarland's topic page</p> <p><a href="https://www.saarland.de/mwide/DE/themen-aufgaben/weitere_aufgaben/geldwaeschepraevention/geldwaeschepraevention_node.html">https://www.saarland.de/mwide/DE/themen-aufgaben/weitere_aufgaben/geldwaeschepraevention/geldwaeschepraevention_node.html</a></p> <p><a href="https://www.saarland.de/mwide/DE/themen-aufgaben/weitere_aufgaben/geldwaeschepraevention/geldwaeschepraevention_node.html">https://www.saarland.de/mwide/DE/themen-aufgaben/weitere_aufgaben/geldwaeschepraevention/geldwaeschepraevention_node.html</a></p>
Hinweise	
Rechtsbehelf	As this is merely a complaint, there is no legal remedy.
Kurztext	<ul style="list-style-type: none"> <li>• Notification of the outsourcing of internal security measures</li> <li>• Obligated parties must create appropriate business and customer-related internal security measures in accordance with the Money Laundering Act;</li> <li>• The internal security measures may be carried out by a third party;</li> <li>• The outsourcing must be notified to the supervisory authority in advance</li> <li>• Outsourcing may be prohibited by the supervisory authority under certain conditions</li> </ul>
Ansprechpunkt	
Zuständige Stelle	State Administration Office At the market 7 66386 St. Ingbert Phone: +49 681 501-00 E-mail: gwg@lava.saarland.de
Formulare	Forms: no Online procedure possible: yes Written form required: no Personal appearance required: no
Ursprungsportal	Meldung des Verdachts auf Geldwäsche oder Terrorismusfinanzierung Anzeige über die Auslagerung interner Sicherungsmaßnahmen, Notification of suspected money laundering or terrorist financing Notification of the outsourcing of internal security measures