

99089151261000, 99089151261000

# Money laundering prevention: Reporting the appointment or dismissal of group money laundering officers

Heruntergeladen am 21.06.2025

<https://fimportal.de/xzufi-services/133494256/L100027>

Modul	Sachverhalt
Leistungsschlüssel	99089151261000, 99089151261000
Leistungsbezeichnung I	Money laundering prevention: Reporting the appointment or dismissal of group money laundering officers
Leistungsbezeichnung II	
Typisierung	3a - Bundesaufsichtsverwaltung: Regelung, Land: Vollzug
Quellredaktion	Mecklenburg-Vorpommern
Freigabestatus Katalog	fachlich freigegeben (gold)
Freigabestatus Bibliothek	fachlich freigegeben (silber)
Begriffe im Kontext	
Leistungstyp	Leistungsobjekt mit Verrichtung
Leistungsgruppierung	Sicherheit und Ordnung (089)

Modul	Sachverhalt
Verrichtungskennung	Entgegennahme (261)
SDG-Informationsbereich	Erlangung von Lizenzen, Genehmigungen oder Zulassungen im Hinblick auf die Gründung und Führung eines Unternehmens
Lagen Portalverbund	
Einheitlicher Ansprechpartner	Nein
Fachlich freigegeben am	05.05.2025
Fachlich freigegeben durch	Ministry of Economic Affairs, Infrastructure, Tourism and Labor Mecklenburg-Vorpommern
Handlungsgrundlage	<a href="https://www.gesetze-im-internet.de/gwg_2017/_9.html">https://www.gesetze-im-internet.de/gwg_2017/_9.html</a> <a href="https://www.gesetze-im-internet.de/gwg_2017/_7.html">https://www.gesetze-im-internet.de/gwg_2017/_7.html</a> <a href="https://www.gesetze-im-internet.de/gwg_2017/_9.html">https://www.gesetze-im-internet.de/gwg_2017/_9.html</a> <a href="https://www.landesrecht-mv.de/bsmv/document/jlr-GwGZustVMVrahmen">https://www.landesrecht-mv.de/bsmv/document/jlr-GwGZustVMVrahmen</a> <a href="https://www.landesrecht-mv.de/bsmv/document/jlr-GwGZustVMVrahmen">https://www.landesrecht-mv.de/bsmv/document/jlr-GwGZustVMVrahmen</a>
Teaser	<p>If you are obliged to appoint a group money laundering officer or a group money laundering officer, this must be reported to the supervisory authority in advance. This also applies if you wish to remove a group money laundering officer ("disengage").</p>
Volltext	<p>If you are an obliged entity and at the same time the parent company of a group in accordance with the Money Laundering Act, you are obliged to appoint a group money laundering officer and a deputy. The supervisory authority must be notified in advance of the appointment and dismissal of the group money laundering officer and his/her deputy.</p> <p>The money laundering officer is responsible for drawing up a uniform group-wide strategy for the prevention of money laundering and terrorist financing and for coordinating and monitoring its implementation.</p> <p>The Group Money Laundering Officer</p> <p>The Group Money Laundering Officer must create binding cross-company procedures for implementing the obligations under money laundering law in the</p>

## Modul

## Sachverhalt

branches, subsidiaries and group companies in Germany and abroad. He or she is authorized to issue instructions for their implementation.

As part of his or her duties, the Group Money Laundering Officer must obtain information on an ongoing basis from the branches, subsidiaries and group companies in Germany and abroad about their compliance with money laundering obligations. Furthermore, he or she must satisfy himself or herself at regular intervals - including through on-site visits - in particular that the obligations under money laundering law are being complied with and that the necessary measures are being taken and effectively implemented. If necessary, he or she must also take cross-company measures.

The parent company must ensure that the Group Money Laundering Officer or employees appointed by him or her are authorized to obtain audit reports, if available, for all branches, subsidiaries and group companies in Germany and abroad. This authority also includes the unrestricted right to carry out spot checks as part of the aforementioned tasks. The parent company must also ensure that the Group Money Laundering Officer, the employees appointed by him or her and Group Internal Audit have group-wide access to all information, documents and files relevant to the fulfillment of money laundering obligations, in particular regarding all customers, beneficial owners and all business relationships and transactions within or outside such business relationships. The Group Money Laundering Officer must take precautions to protect personal data.

## Erforderliche Unterlagen

- Notification of the appointment or dismissal of a group money laundering officer and his or her deputy
  - Proof of appointment as group money laundering officer or group money laundering officer
  - Proof that the applicant is a member of the company's management (e.g. extract from the commercial register or shareholders' agreement)
- Registered companies must submit a current excerpt from the commercial register when submitting their application. Legal entities being established (GmbH, AG) submit the articles of association or articles of incorporation.

Modul	Sachverhalt
	<ul style="list-style-type: none"> <li>• The supervisory authority reserves the right to request additional information on the qualifications of the group money laundering officer (e.g. overview of professional career, proof of participation in money laundering training courses, etc.) and his/her reliability (e.g. in the form of information from the Federal Central Register or, if applicable, from the Central Trade Register).</li> </ul>
Voraussetzungen	Only natural or legal persons who are obligated under the Money Laundering Act are obliged to report.
Kosten	none
Verfahrensablauf	<ul style="list-style-type: none"> <li>• As an obliged entity, you must notify the supervisory authority in advance of the appointment or dismissal of a group money laundering officer and his/her deputy for your company.</li> <li>• Your notification will be reviewed by the competent authority.</li> <li>• You will receive a final notification.</li> <li>• If the person does not have the required qualifications or reliability, the appointment as group money laundering officer or deputy must be revoked at the request of the supervisory authority and a new person must be appointed.</li> </ul>
Bearbeitungsdauer	not applicable, this is only an advertisement
Frist	<ul style="list-style-type: none"> <li>• The notification of the group money laundering officer and/or the deputy must be made prior to the appointment. There is no deadline, i.e. the notification can also be made at very short notice. The notification is intended to give the authority the opportunity to review the qualifications and reliability of the newly appointed group money laundering officer and/or deputy and, if necessary, to object to the appointment in a timely manner.</li> <li>• The supervisory authority must also be notified in advance of the dismissal ("disengagement") of the group anti-money laundering officer and/or the deputy</li> </ul>
weiterführende Informationen	<a href="https://www.bezreg-koeln.nrw.de/themen/wirtschaft-und-kultur/geldwaeschepraevention">https://www.bezreg-koeln.nrw.de/themen/wirtschaft-und-kultur/geldwaeschepraevention</a> <a href="https://www.regierung-mv.de/Landesregierung/wm/Wirtschaft/Geldwaeschepraevention/">https://www.regierung-mv.de/Landesregierung/wm/Wirtschaft/Geldwaeschepraevention/</a>

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	<a href="https://www.regierung-mv.de/Landesregierung/wm/WirtschaftsGeldwaeschepraevention/">https://www.regierung-mv.de/Landesregierung/wm/WirtschaftsGeldwaeschepraevention/</a>
Hinweise	
Rechtsbehelf	<ul style="list-style-type: none"> <li>• Objection</li> <li>• Action for annulment</li> <li>• In the event of a request for dismissal by the authority (Section 9 para. 1 no. 2 in conjunction with Section 7 para. 4 sentence 2 GwG):</li> </ul>
Kurztext	<ul style="list-style-type: none"> <li>• Appointing or dismissing a group money laundering officer ("disengagement")</li> <li>• Under certain conditions, obliged entities under the Money Laundering Act are required to appoint a group money laundering officer and a deputy.</li> <li>• The competent supervisory authority must be notified of the appointment and dismissal of the group money laundering officer and their deputy.</li> </ul>
Ansprechpunkt	
Zuständige Stelle	<p>Competent supervisory authority for goods traders, real estate brokers, insurance brokers with an investment purpose, insurance and financial companies that are not supervised by the Federal Financial Supervisory Authority (BaFin) as well as service providers for companies and trusts or trustees:</p> <ul style="list-style-type: none"> <li>• Ministry of Economic Affairs, Infrastructure, Tourism and Labor M-V</li> </ul> <p>Competent supervisory authority for</p> <ul style="list-style-type: none"> <li>• Ministry of the Interior, Building and Digitization M-V</li> </ul> <p>Competent supervisory authorities for</p> <ul style="list-style-type: none"> <li>• President of the Regional Court of Neubrandenburg</li> <li>• President of the Rostock Regional Court</li> <li>• President of the Schwerin Regional Court</li> <li>• President of the Stralsund Regional Court</li> </ul> <p>Competent supervisory authority for</p> <ul style="list-style-type: none"> <li>• Rostock tax office</li> </ul> <p>Competent supervisory authority for goods traders, real estate brokers, insurance brokers with an investment purpose, insurance and financial companies that are not supervised by the Federal Financial Supervisory Authority (BaFin) as well as service providers for companies and trusts or trustees:</p> <ul style="list-style-type: none"> <li>• Ministry of Economic Affairs, Infrastructure, Tourism</li> </ul>

Modul	Sachverhalt
	<p>and Labor M-V</p> <p>Competent supervisory authority for</p> <ul style="list-style-type: none"> <li>• Ministry of the Interior, Building and Digitization M-V</li> </ul> <p>Competent supervisory authorities for</p> <ul style="list-style-type: none"> <li>• President of the Regional Court of Neubrandenburg</li> <li>• President of the Rostock Regional Court</li> <li>• President of the Schwerin Regional Court</li> <li>• President of the Stralsund Regional Court</li> </ul> <p>Competent supervisory authority for</p> <ul style="list-style-type: none"> <li>• Rostock tax office</li> </ul>
Formulare	<ul style="list-style-type: none"> <li>• Forms: yes</li> <li>• Online procedure possible: yes</li> <li>• Written form required: no</li> <li>• Personal appearance required: no</li> </ul>
Ursprungsportal	<p>Geldwäscheprävention: Bestellung oder Entpflichtung von Gruppen-Geldwäschebeauftragten melden, Money laundering prevention: Reporting the appointment or dismissal of group money laundering officers</p>