

99089165010000, 99089165010000

Documentation of the risk analysis of money laundering and terrorist financing Exemption

Heruntergeladen am 23.06.2025

<https://fimportal.de/xzufi-services/137781157/L100027>

Modul	Sachverhalt
Leistungsschlüssel	99089165010000, 99089165010000
Leistungsbezeichnung I	Documentation of the risk analysis of money laundering and terrorist financing Exemption
Leistungsbezeichnung II	
Typisierung	3a - Bundesaufsichtsverwaltung: Regelung, Land: Vollzug
Quellredaktion	Mecklenburg-Vorpommern
Freigabestatus Katalog	unbestimmter Freigabestatus
Freigabestatus Bibliothek	fachlich freigegeben (silber)
Begriffe im Kontext	
Leistungstyp	Leistungsobjekt mit Verrichtung
Leistungsgruppierung	Sicherheit und Ordnung (089)
Verrichtungskennung	Befreiung (010)
SDG-Informationsbereich	Erlangung von Lizenzen, Genehmigungen oder

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	Zulassungen im Hinblick auf die Gründung und Führung eines Unternehmens
Lagen Portalverbund	
Einheitlicher Ansprechpartner	Nein
Fachlich freigegeben am	02.10.2024
Fachlich freigegeben durch	Ministry of Economic Affairs, Infrastructure, Tourism and Labor Mecklenburg-Vorpommern
Handlungsgrundlage	https://www.gesetze-im-internet.de/gwg_2017/_5.html https://www.gesetze-im-internet.de/gwg_2017/_5.html
Teaser	Upon request, the competent body may exempt obligated parties from the obligation to document the risk analysis under certain conditions.
Volltext	As an obligated party under the German Money Laundering Act (GwG), you must identify and assess the risks of money laundering and terrorist financing for the transactions you conduct and properly document them in a risk analysis. Provided that specific risks in your business area are clearly identifiable and 1. existing concrete risks are clearly recognizable and 1. the risks are understood, be exempted from the obligation to document a risk analysis upon request. Please note that the exemption from documenting a risk analysis does not exempt you from the obligation to prepare a risk analysis. Exemption can only be granted for the documentation. You must continue to identify and assess money laundering and terrorist financing risks on an ongoing basis and take suitable internal security measures to prevent them. You can only be exempted from the regular documentation obligation. Re 1. the existing

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specific risks may be clearly recognizable if, for example, your transactions

- do not involve complex business activities,
- the transactions you carry out are of a manageable size,
- your customer structure is homogeneous and
- there are no other risk-increasing circumstances.

Re 2: A sufficient understanding of the specific risks can be assumed if the internal security measures you have taken (e.g. regular briefings of the personnel deployed and security checks) are appropriate to the risk.

Erforderliche Unterlagen

Voraussetzungen

- Obligated parties under the Money Laundering Act

Obligated parties under the Money Laundering Act (GwG) are eligible to apply.

- Authorized representative

The person submitting the application must be a member of management or an internal/external money laundering officer of the company.

- Clear recognizability of the risks

z. E.g. presentation

1. what risks your company is exposed to and

1. how vulnerable it is to abuse against money laundering or terrorist financing

- Sufficient understanding of the risks

z. E.g. presentation of the security measures taken on the basis of the specific risks identified

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Kosten	Abgabe: 70€ - 1.000€
Verfahrensablauf	• As the obligated party, you apply to the competent authority for exemption from the obligation to document a risk analysis • Your application will be reviewed by the competent authority • You will receive a decision once the procedure has been completed
Bearbeitungsdauer	6 Woche(n)
Frist	none
weiterführende Informationen	https://www.regierung-mv.de/Landesregierung/wm/Wirtschaft/Geldwaeschepraevention/ https://www.regierung-mv.de/Landesregierung/wm/Wirtschaft/Geldwaeschepraevention/
Hinweise	There are no indications or special features.
Rechtsbehelf	• Administrative court action
Kurztext	• Documentation of the money laundering and terrorist financing risk analysis Exemption • Obligated parties under the Money Laundering Act (GwG) must identify and assess the risks of money laundering and terrorist financing for the transactions they conduct and properly document them in a risk analysis; under certain conditions, it is possible to apply for exemption from the obligation to document a risk analysis. • Responsible authorities: Ministry of Economic Affairs, Infrastructure, Tourism and Employment Mecklenburg-Vorpommern, Ministry of the Interior, Building and Digitalization Mecklenburg-Vorpommern, Tax Office Rostock, President of the Higher Regional Court Rostock
Ansprechpunkt	
Zuständige Stelle	Ministry of Economics, Infrastructure, Tourism and Employment Mecklenburg-Vorpommern, Ministry of the Interior, Building and Digitalization

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Mecklenburg-Vorpommern, Tax Office Rostock,
President of the Higher Regional Court Rostock

Formulare

Ursprungsportal

Documentation of the risk analysis of money
laundering and terrorist financing Exemption,
Dokumentation der Risikoanalyse der Geldwäsche und
der Terrorismusfinanzierung Befreiung