

99089149261000, 99089149261000

Communicate information on violations in the context of money laundering supervision (whistleblower system)

Heruntergeladen am 07.06.2025

<https://fimportal.de/xzufi-services/250561105/L100039>

Modul	Sachverhalt
Leistungsschlüssel	99089149261000, 99089149261000
Leistungsbezeichnung I	Communicate information on violations in the context of money laundering supervision (whistleblower system)
Leistungsbezeichnung II	Communicate information on violations in the context of money laundering supervision (whistleblower system)
Typisierung	3a - Bundesaufsichtsverwaltung: Regelung, Land: Vollzug
Quellredaktion	Rheinland-Pfalz
Freigabestatus Katalog	fachlich freigegeben (gold)
Freigabestatus Bibliothek	fachlich freigegeben (silber)
Begriffe im Kontext	
Leistungstyp	Leistungsobjekt mit Verrichtung

Modul	Sachverhalt
Leistungsgruppierung	Sicherheit und Ordnung (089)
Verrichtungskennung	Entgegennahme (261)
SDG-Informationsbereich	
Lagen Portalverbund	Verbraucherschutz, Compliance und Recht (2140000), Verbraucherschutz (1150300)
Einheitlicher Ansprechpartner	Nein
Fachlich freigegeben am	17.10.2022
Fachlich freigegeben durch	Mdl
Handlungsgrundlage	<p>§ Section 53 (1) of the Money Laundering Act (GwG)</p> <p>www.gesetze-im-internet.de/gwg_2017/_53.html</p>
Teaser	If you have information about a violation of the Money Laundering Act (such as: non-identification of a contracting party), you can report this completely anonymously to the competent supervisory authority.
Volltext	<ul style="list-style-type: none"> • Money laundering is used to smuggle illegally obtained assets into the legal economy and prevent them from being accessed by law enforcement authorities. • If you have information about potential or actual violations of the Money Laundering Act (such as non-identification of a contracting party), you can report this completely anonymously to the responsible supervisory authority. <p>Your tip-off can contribute to the prevention of money laundering or terrorist financing.</p> <ul style="list-style-type: none"> • However, you must note that reporting via the anonymous whistleblower system is not the same as reporting a reportable suspected case to the Financial Intelligence Unit (FIU), which is located at the customs authorities, in accordance with the reporting obligation and the authorization to issue regulations in the Money Laundering Act. In this case, you must report your suspected case to the FIU. When submitting a report, you are not obliged to provide any personal

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	details. The report can also be made anonymously.
Erforderliche Unterlagen	None
Voraussetzungen	None
Kosten	There are no costs.
Verfahrensablauf	<p>You can report your information against the Money Laundering Act in writing or online and anonymously.</p> <p>Written procedure:</p> <ul style="list-style-type: none"> • You prepare a written report about the potential or actual violation of the Money Laundering Act. Attach evidence if available. • Important: You can submit your report anonymously in any case. • The next step is to find the responsible office, for example through the service portals of the federal states. The report can be submitted by post, by e-mail (via an e-mail address set up at short notice with immediate deletion), by fax, by telephone or via a lawyer. Upon receipt, the responsible office will check the reported information. • If your contact details are available and the competent body has any queries, your report may be discussed. • In the case of an anonymous submission, further processing will take place without contacting you. • If the information indicates a suspected criminal offense, it will be passed on to the responsible public prosecutor's office or police and followed up there.
Bearbeitungsdauer	The processing time is not relevant for the person providing the information, as no results are communicated.
Frist	There is no deadline.
weiterführende Informationen	
Hinweise	There are no indications or special features.
Rechtsbehelf	None

Modul

Sachverhalt

Kurztext

- Information on violations of the Money Laundering Act (whistleblower system) Receipt
 - Money laundering is the process of smuggling illegally acquired assets into the legal economy and removing them from the reach of law enforcement authorities.
 - Money laundering prevention serves to protect companies from being misused for money laundering and terrorist financing.
 - Specific reports to supervisory authorities are important and can help to eliminate violations of money laundering prevention regulations and thus ultimately combat money laundering and terrorist financing.
 - Reports can be made anonymously, in writing, by telephone or by fax.
 - Who is responsible: The responsibilities in the federal states depend on the supervisory authorities of the respective sectors.

Ansprechpunkt

Zuständige Stelle

The responsibility lies with:

- Lawyers and chamber legal advisors: locally competent bar association
- Patent attorneys: Chamber of Patent Attorneys
- Notaries: President of the regional court in whose district the notary is based
- Auditors and sworn auditors: Chamber of Auditors
- Tax consultants and tax agents: Rhineland-Palatinate Chamber of Tax Consultants
- Income tax assistance associations: Koblenz State Tax Office
- Organizers and intermediaries of games of chance, unless they are those named in Section 2 (1) No. 15 a-c) GwG, financial companies, service providers for companies and trust assets or trustees: Supervisory and Service Directorate Trier
- Insurance brokers, real estate brokers, goods dealers, art brokers, art warehouse keepers, insofar as the storage takes place in duty-free areas: Locally responsible district or city administration as district regulatory authority
- Legal advisors who are not members of a bar

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	association: President of the Regional Court of Mainz
Formulare	None
Ursprungsportal	Hinweise auf Verstöße im Rahmen der Geldwäscheaufsicht mitteilen (Whistleblower-System), Communicate information on violations in the context of money laundering supervision (whistleblower system)